

PATENT APPLICATION  
Attorney Docket: YOR920030623 US1 (8728-671)

In re application of: Ross et al.  
Serial No.: 10/779,457  
Filed: February 23, 2004  
For: **METHOD OF FORMING QUANTUM DOTS AT PREDETERMINED POSITIONS ON A SUBSTRATE**



Mail Stop Amendment  
Commissioner for Patents  
PO Box 1450  
Alexandria VA 22313-1450

Examiner: Christy L. Novacek  
Group Art Unit: 2822

*JKW*

**AMENDMENT TRANSMITTAL FORM**

Sir:

Transmitted herewith is an amendment in the above-identified application.

Small entity status of this application under 37 C.F.R. § 1.9 and 1.27 has been established by a verified statement previously submitted.  
 A verified statement to establish small entity under 37 C.F.R. § 1.9 and 1.27 is enclosed.  
 No additional fee is required.

The fee has been calculated as shown below:

	(Col. 1)	(Col. 2)	(Col. 3)	SMALL ENTITY	OTHER THAN SMALL ENTITY			
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NO. PREVIOUSLY PAID FOR		PRESENT EXTRA	RATE	ADDIT. FEE	OR	ADDIT. FEE
TOTAL	30*	MINUS	32**	= 0	X 25	\$ 0	X 50	\$ 0
INDEP.	2*	MINUS	3***	= 0	X 100	\$ 0	X 200	\$ 0
[ ] FIRST PRESENTATION OF MULTIPLE DEP. CLAIM				X 180	\$	X 360	\$ 0	
					TOTAL ADDIT. FEE	\$ .00	OR TOTAL	\$ 0

\* If the entry in Co. 1 is less than entry in Col. 2, write "0" in Col. 3.

\*\* If the "Highest No. Previously Paid for" IN THIS SPACE is less than 20, enter "20".

\*\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The Highest No. Previously Paid For" (Total or indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

Please charge Deposit Account No. 50-0510/IBM in the amount of \$ \_\_\_\_\_. Two (2) copies of this sheet are enclosed.  
 Please charge fee of \$ \_\_\_\_ for \_\_\_\_\_ by Credit Card Payment Form PTO-2038 enclosed herewith  
 Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. § 1.16 and/or 1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account No. 50-0510/IBM. Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. 50-0510/IBM therefor. **TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.**

F. CHAU & ASSOCIATES  
130 Woodbury Road  
Woodbury New York 11797  
Tel: (516) 692-8888  
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Respectfully submitted,

*David L. Heath*

David L. Heath  
Reg. No. 46,763  
Attorney for Applicant(s)

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to the: Mail Stop Amendment, Commissioner for Patents, PO Box 1450, Alexandria VA 22313-1450 on October 3, 2005.

Dated: 10/3/05

*David L. Heath*  
David L. Heath

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Ross, *et al.*

Examiner: Christy L. Novacek

Serial No: 10/779,457

Group Art Unit: 2822

Filed: February 13, 2004

Docket: 8728-671 (YOR9-2003-0623 US1)

For: **METHOD OF FORMING QUANTUM DOTS AT PREDETERMINED POSITIONS ON A SUBSTRATE**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**AMENDMENT UNDER 37 C.F.R. §1.111**

Sir:

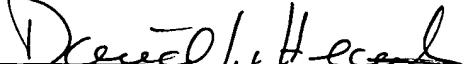
In response to the Office Action mailed on July 1, 2005, please reconsider this application in light of the following amendments and remarks.

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**CERTIFICATE OF MAILING 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence (and any document referred to as being attached or enclosed) is being deposited with the United States Postal Service as first class mail, postage paid in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, PO Box 1450, Alexandria VA 22313-1450 on the date indicated below.

Dated: 10/3/05

  
David L. Heath